

Quarterly Report to the Pennsylvania Public Utility Commission

**For the Period
June 1, 2014 through August 31, 2014
Program Year 6, Quarter 1**

For Pennsylvania Act 129 of 2008
Energy Efficiency and Conservation Plan

Prepared by Navigant Consulting Inc.

For

Duquesne Light Company

October 15, 2014

Table of Contents

TABLE OF CONTENTS	I
ACRONYMS	II
1 OVERVIEW OF PORTFOLIO	4
1.1 SUMMARY OF ACHIEVEMENTS	5
1.2 PROGRAM UPDATES AND FINDINGS	7
1.3 EVALUATION UPDATES AND FINDINGS.....	7
2 SUMMARY OF ENERGY IMPACTS BY PROGRAM	9
3 SUMMARY OF DEMAND IMPACTS BY PROGRAM	11
4 SUMMARY OF FINANCES	13
4.1 PORTFOLIO LEVEL EXPENDITURES	13

Acronyms

C&I	Commercial and Industrial
CATI	Computer-Aided Telephone Interview
CFL	Compact Fluorescent Lamp
CSP	Conservation Service Provider or Curtailment Service Provider
CVR	Conservation Voltage Reduction
CVRf	Conservation Voltage Reduction factor
DLC	Direct Load Control
DR	Demand Response
EDC	Electric Distribution Company
EE&C	Energy Efficiency and Conservation
EM&V	Evaluation, Measurement, and Verification
GNI	Government, Non-Profit, Institutional
HVAC	Heating, Ventilating, and Air Conditioning
IQ	Incremental Quarter
kW	Kilowatt
kWh	Kilowatt-hour
LED	Light Emitting Diode
LEEP	Low-Income Energy Efficiency Program
LIURP	Low-Income Usage Reduction Program
M&V	Measurement and Verification
MW	Megawatt
MWh	Megawatt-hour
NTG	Net-to-Gross
PA PUC	Pennsylvania Public Utility Commission
Phase II	Cumulative Program/Portfolio Phase II Inception to Date (Phase II Savings)
Phase II-CO	Cumulative Program/Portfolio Phase II Inception to Date including Carry Over Savings from Phase I
Phase II-Q	Phase II verified gross savings from the beginning of Phase II + PYTD reported gross savings.
Phase II-Q-CO	Phase II verified gross savings from the beginning of Phase II + verified Carry Over Savings from Phase I + PYTD reported gross savings
PY5	Program Year 2013, from June 1, 2013 to May 31, 2014
PY5 Q4	Program Year 5, Quarter 4
PY6	Program Year 2014, from June 1, 2014 to May 31, 2015
PY7	Program Year 2015, from June 1, 2015 to May 31, 2016
PYTD	Program Year to Date

PYX	Program Year 201X
SEER	Seasonal Energy Efficiency Rating
SWE	Statewide Evaluator
TRC	Total Resource Cost
TRM	Technical Reference Manual

1 Overview of Portfolio

Pennsylvania Act 129 of 2008 signed on October 15, 2008, mandated energy savings and demand reduction goals for the largest electric distribution companies (EDCs) in Pennsylvania for Phase I (2008 through 2013). In 2009, each EDC submitted Energy Efficiency and Conservation (EE&C) plans—which were approved by the Pennsylvania Public Utility Commission (PA PUC)—pursuant to these goals. Duquesne Light filed new EE&C plans with the PA PUC in November 2012 for Phase II (June 2013 through May 2016) of the Act 129 programs. These plans were subsequently approved by the PA PUC in 2013.

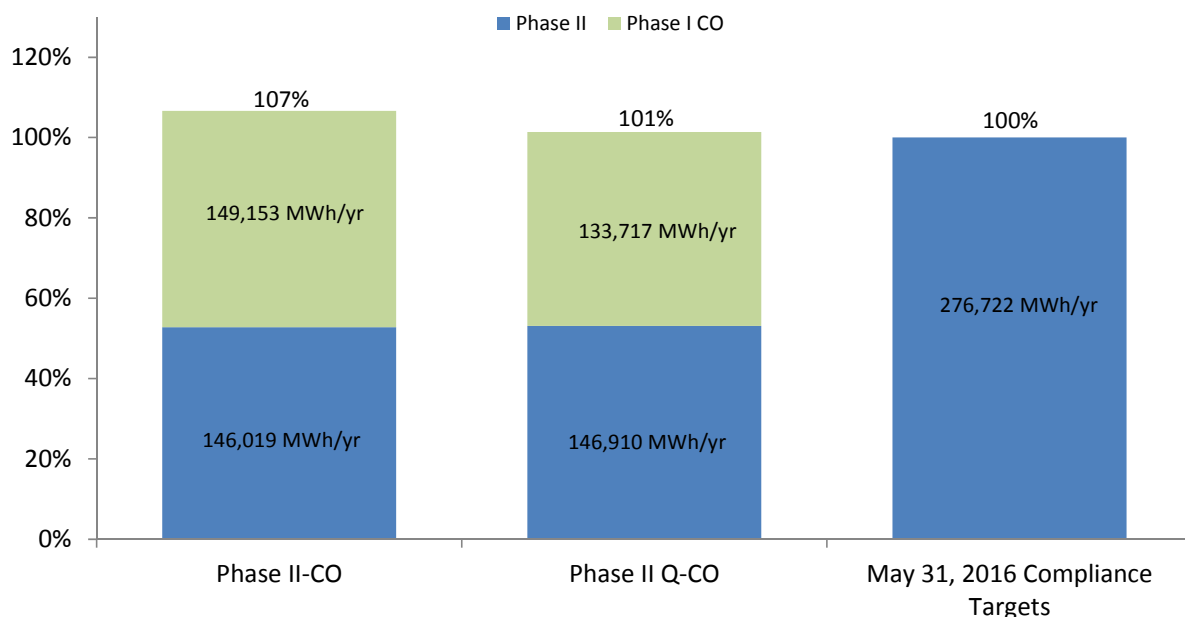
Implementation of Phase II of the Act 129 programs began on June 1, 2013. This report documents the progress and effectiveness of the Phase II EE&C accomplishments for Duquesne Light in the 1st quarter of Program Year 6 (PY6), defined as June 1, 2014, through August 31, 2014, as well as the cumulative accomplishments of the programs since inception of Phase II. This report additionally documents the energy savings carried over from Phase I. The Phase I carry over savings count towards EDC savings compliance targets for Phase II.

Navigant Consulting, Inc. (Navigant) is evaluating the programs, which included measurement and verification of the savings. The verified savings for PY6 will be reported in the annual report, to be filed November 15, 2015.

1.1 Summary of Achievements

Duquesne Light has achieved 107 percent of the May 31, 2016 energy savings compliance target, based on cumulative program inception to date (Phase II) reported gross energy savings¹, and 101 percent of the energy savings compliance target, based on Phase II-Q-CO² (or Phase II-CO until verified savings are available for PY6) gross energy savings achieved through Quarter 1, as shown in Figure 1-1. (Phase II-Q)³ is also shown in Figure 1-1.

Figure 1-1: Cumulative Portfolio Phase 2 Inception to Date (Phase II) Energy Impacts*



*To date, Phase II savings shown in Phase II Q-CO have been verified only for Upstream Lighting.

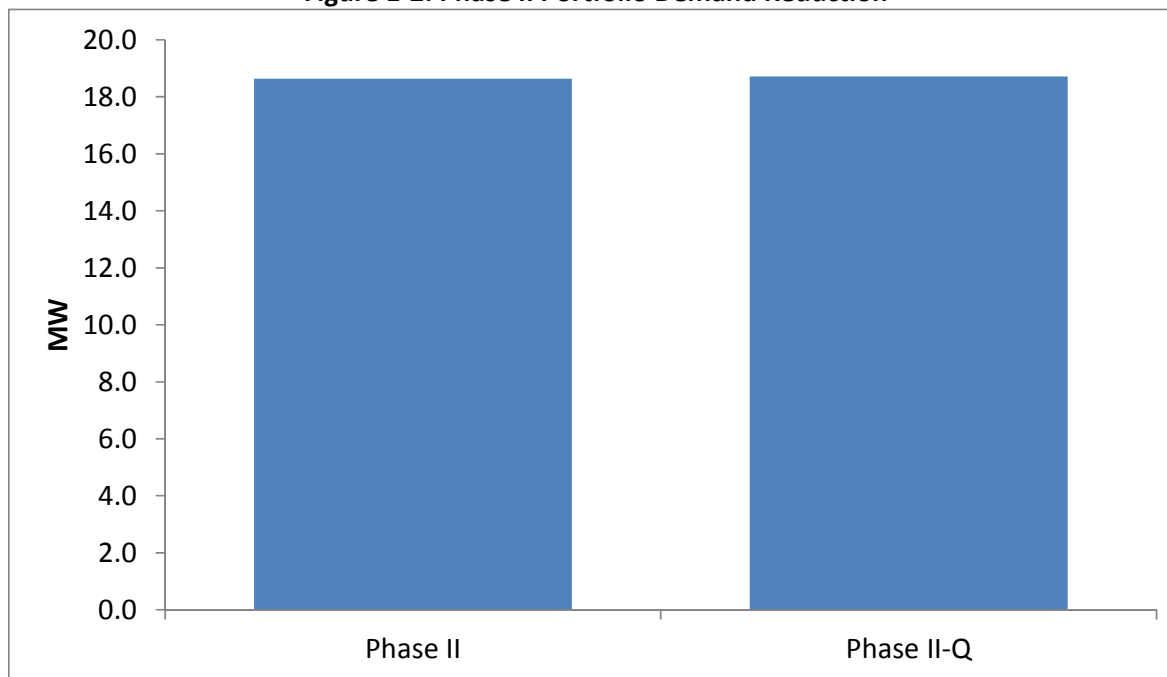
¹ Phase II Reported Gross Savings = Phase II Reported Gross Savings from the beginning of Phase II through the end of the current quarter. All savings reported as Phase II reported gross savings are computed this way.

² Phase II-Q-CO Gross Savings = Phase II verified gross savings from the beginning of Phase II including verified Carry Over Savings from Phase I + PYTD reported gross savings.

³ Phase II-Q Gross Savings = CPITD Verified Gross Savings from the beginning of Phase II + PYTD Reported Gross Savings. This excludes Phase I carry over savings. All savings reported as Phase II-Q gross savings are computed this way. Phase II Verified Gross Savings will be reported in the annual report. For the first program year of Phase II (Program Year 5), Phase II-Q Savings and CPP2ITD Savings will be the same as no savings will be verified for Phase II until November, after the end of Program Year 5.

Duquesne Light has achieved 18.6 MW of demand reduction since the start of Phase II.

Figure 1-2: Phase II Portfolio Demand Reduction



There are 7 measures available to the low-income sector at no cost to the customer. These measures offered to the low-income sector therefore comprise 14 percent of the total measures offered. As required for Phase II this exceeds the fraction of the electric consumption of the utility’s low-income households divided by the total electricity consumption in the Duquesne Light territory (8.4 percent).⁴ The Phase II reported gross energy savings achieved in the low-income sector is 15,432 MWh/yr⁵; this is

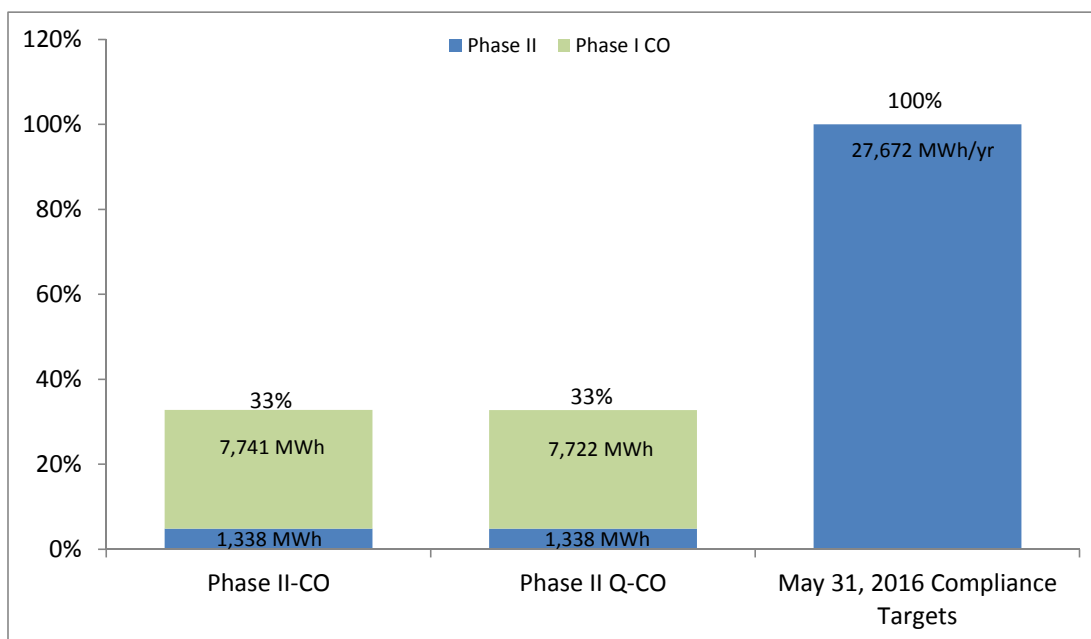
⁴ Act 129 includes a provision requiring electric distribution companies to offer a number of energy efficiency measures to low-income households that are “proportionate to those households’ share of the total energy usage in the service territory.” 66 Pa.C.S. §2806.1(b)(i)(G). Per SWE’s “Low-income Measures Memo Clarification 10102012”, dated October 10, 2012, consumption of low-income households as a percentage of all consumption for Duquesne Light customers is 8.402%.

⁵ The allocation of Upstream Lighting savings into the Residential Energy Efficiency Program (REEP), Low-Income Energy Efficiency Program (LIEEP), and Commercial Umbrella Program is based on evaluation research Navigant conducted early in Program Year 5, which determined that 12.6% of CFL bulbs were sold to commercial customers, 17.8% to low income customers and 69.6% to non-low-income residential customers. Final allocations with respect to financial data, including incentives and administrative costs, will be made in the PY6 final report which will be submitted November 15, 2015.

10.6 percent of the Phase II total portfolio reported gross energy savings. This exceeds the percentage required (4.5%) of the Phase II goal.

Duquesne Light achieved 33 percent of the May 31, 2016, energy reduction compliance target for government, nonprofit and institutional sector, based on Phase II reported gross energy savings, and 33 percent of the target based on Phase II-Q-CO (or Phase II-CO until verified savings are available for PY6) gross energy savings achieved through Quarter 1, as shown in Figure 1-3.

Figure 1-3: Government, Nonprofit, and Institutional Sectors



1.2 Program Updates and Findings

The energy efficiency programs which participants took part in during PY6Q1 have not changed substantially from those offered in PY5Q4. However, new programs are expected to be implemented at the beginning of PY6 and ramp up for these new programs has begun.

1.3 Evaluation Updates and Findings

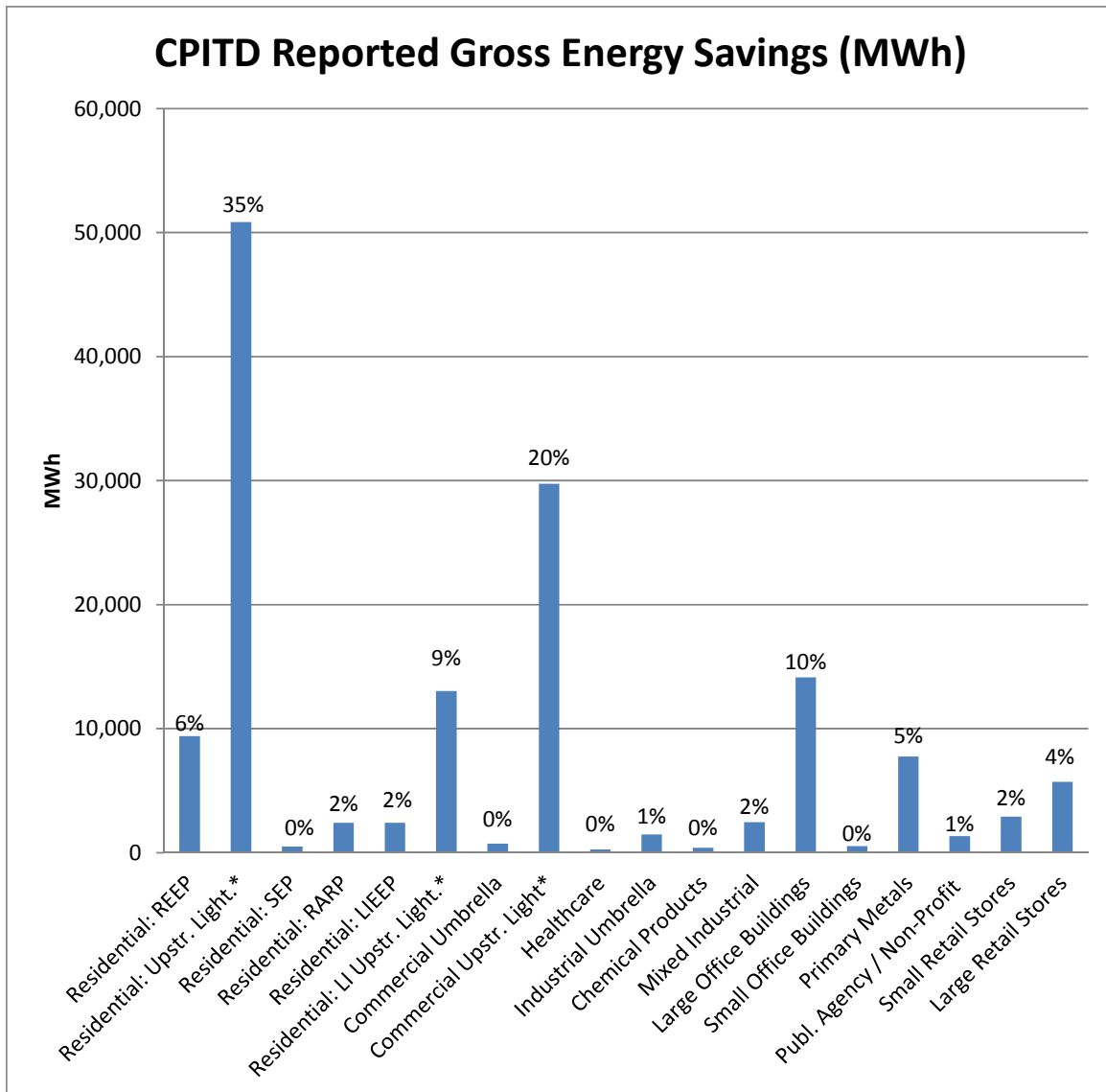
During this quarter, a number of evaluation activities occurred to support the PY5 evaluation process. On-site verifications were completed for a sample of Q3 participants in non-residential programs and on-site verification began for Q4 participants. The second wave of REEP (Residential Energy Efficiency Program) and RARP (Residential Appliance Recycling Program) participant surveys (covering Q3 and Q4 participants) was fielded to support both process and impact evaluation activities. Participant surveys were also fielded for the SEP (School Energy Pledge) program. In-depth interviews were fielded for

Energy Star retailers, Market Outreach partners, and SEP Site Coordinators to support process evaluation activities.

2 Summary of Energy Impacts by Program

A summary of the reported energy savings by program is presented in Figure 2-1.

Figure 2-1: Phase II Reported Gross Energy Savings by Program*



* The allocation of Upstream Lighting CFL savings into Residential, Low-income, and Commercial Upstream Lighting is based on evaluation research Navigant conducted early in Program Year 5, which determined that 12.6% of CFL bulbs were sold to commercial customers, 17.8% to low income customers and 69.6% to non-low-income residential customers.

A summary of energy impacts by program through PY6Q1 is presented in Table 2-1.

Table 2-1: EDC Reported Participation and Gross Energy Savings by Program*

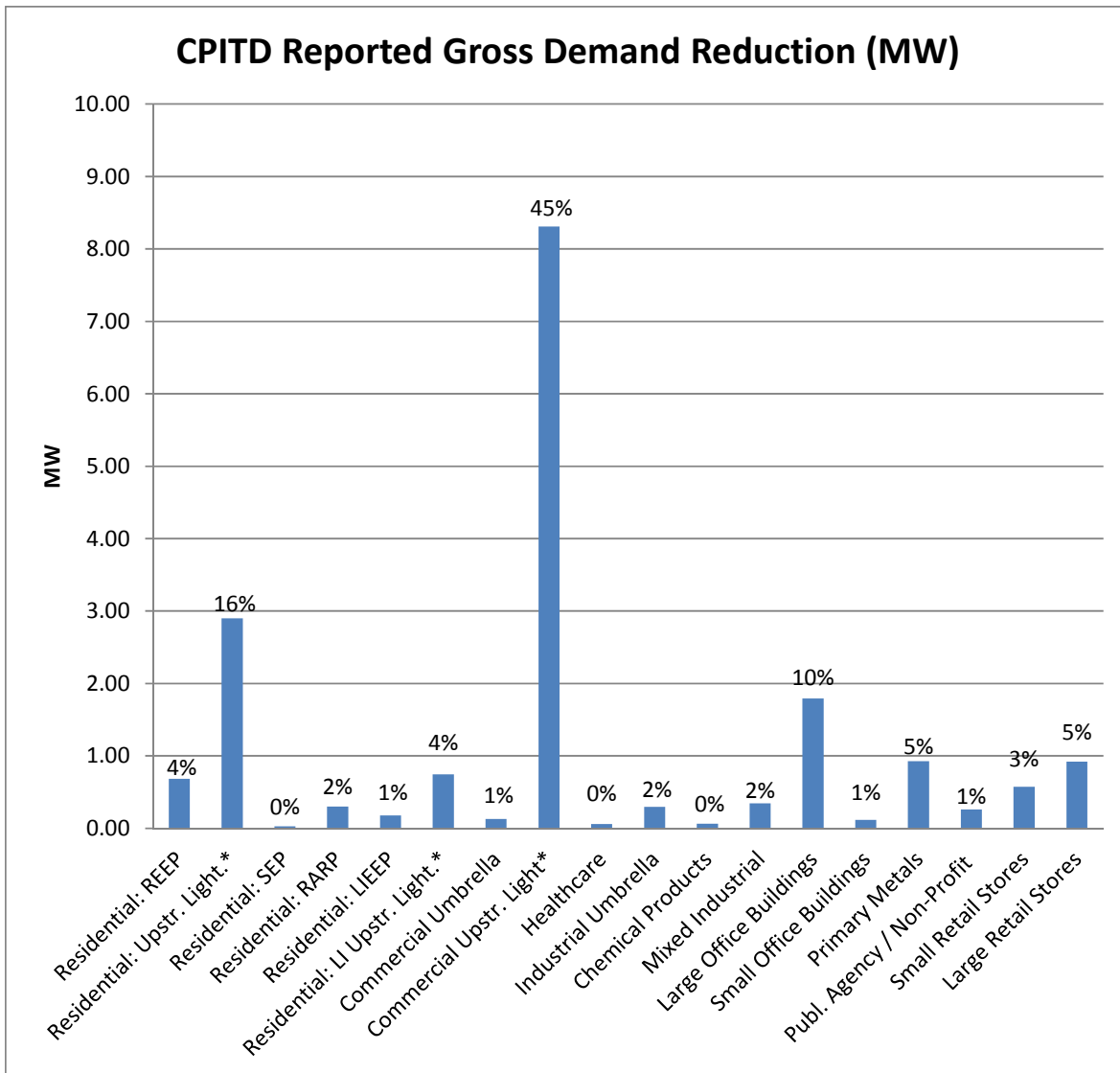
Program	Participants			Reported Gross Impact (MWh)			
	IQ	PYTD	Phase II	IQ	PYTD	Phase II	Phase II-Q
Residential: EE Program (REEP): Rebate Program	965	965	26,584	247	247	9,387	9,387
Residential: EE Program (Upstream Lighting)*	N/A	N/A	N/A	8,536	8,536	50,842	51,551
Residential: School Energy Pledge	0	0	1,284	0	0	499	499
Residential: Appliance Recycling	646	646	2,818	574	574	2,423	2,423
Residential: Low Income EE	890	890	6,512	182	182	2,402	2,402
Residential: Low Income EE (Upstream Lighting)*	N/A	N/A	N/A	2,187	2,187	13,030	13,212
Commercial Sector Umbrella EE	5	5	25	11	11	725	725
Commercial Sector Umbrella EE (Upstream Lighting)*	N/A	N/A	N/A	3,355	3,355	29,755	29,755
Healthcare EE	1	1	8	6	6	248	248
Industrial Sector Umbrella EE	0	0	2	0	0	1,484	1,484
Chemical Products EE	0	0	9	0	0	398	398
Mixed Industrial EE	6	6	17	1,356	1,356	2,447	2,447
Office Building – Large – EE	24	24	65	7,321	7,321	14,133	14,133
Office Building – Small EE	5	5	23	98	98	528	528
Primary Metals EE	8	8	14	966	966	7,754	7,754
Public Agency / Non-Profit	13	13	48	744	744	1,338	1,338
Retail Stores – Small EE	57	57	165	1,206	1,206	2,897	2,897
Retail Stores – Large EE	38	38	46	4,941	4,941	5,728	5,728
TOTAL PORTFOLIO	2,658	2,658	37,620	31,731	31,731	146,019	146,910

* The allocation of Upstream Lighting CFL savings into Residential, Low-income, and Commercial Upstream Lighting is based on evaluation research Navigant conducted early in Program Year 5, which determined that 12.6% of CFL bulbs were sold to commercial customers, 17.8% to low income customers and 69.6% to non-low-income residential customers.

3 Summary of Demand Impacts by Program

A summary of the reported demand reduction by program is presented in Figure 3-1.

Figure 3-1: Phase II Demand Reduction by Program*



* The allocation of Upstream Lighting CFL savings into Residential, Low-income, and Commercial Upstream Lighting is based on evaluation research Navigant conducted early in Program Year 5, which determined that 12.6% of CFL bulbs were sold to commercial customers, 17.8% to low income customers and 69.6% to non-low-income residential customers.

A summary of total demand reduction impacts by program through PY6Q1 is presented in Table 3-1.

Table 3-1: Participation and Reported Gross Demand Reduction by Program*

Program	Participants			Reported Gross Impact (MW)			
	IQ	PYTD	Phase II	IQ	PYTD	Phase II	Phase II-Q
Residential: EE Program (REEP): Rebate Program	965	965	26,584	0.060	0.060	0.685	0.685
Residential: EE Program (Upstream Lighting)*	N/A	N/A	N/A	0.702	0.702	2.901	2.962
Residential: School Energy Pledge	0	0	1,284	0.000	0.000	0.028	0.028
Residential: Appliance Recycling	646	646	2,818	0.079	0.079	0.303	0.303
Residential: Low Income EE	890	890	6,512	0.023	0.023	0.179	0.179
Residential: Low Income EE (Upstream Lighting)*	N/A	N/A	N/A	0.180	0.180	0.744	0.759
Commercial Sector Umbrella EE	5	5	25	0.003	0.003	0.130	0.130
Commercial Sector Umbrella EE (Upstream Lighting)*	N/A	N/A	N/A	0.937	0.937	8.310	8.310
Healthcare EE	1	1	8	0.002	0.002	0.061	0.061
Industrial Sector Umbrella EE	0	0	2	0.000	0.000	0.298	0.298
Chemical Products EE	0	0	9	0.000	0.000	0.063	0.063
Mixed Industrial EE	6	6	17	0.180	0.180	0.345	0.345
Office Building – Large – EE	24	24	65	0.640	0.640	1.792	1.792
Office Building – Small EE	5	5	23	0.029	0.029	0.117	0.117
Primary Metals EE	8	8	14	0.123	0.123	0.929	0.929
Public Agency / Non-Profit	13	13	48	0.110	0.110	0.261	0.261
Retail Stores – Small EE	57	57	165	0.219	0.219	0.574	0.574
Retail Stores – Large EE	38	38	46	0.787	0.787	0.918	0.918
TOTAL PORTFOLIO	2,658	2,658	37,620	4.073	4.073	18.638	18.715

* The allocation of Upstream Lighting savings into Residential, Low-income, and Commercial Upstream Lighting is based on evaluation research Navigant conducted early in Program Year 5, which determined that 12.6% of bulbs were sold to commercial customers, 17.8% to low income customers and 69.6% to non-low-income residential customers.

4 Summary of Finances

4.1 Portfolio Level Expenditures

Table 4-1: Summary of Portfolio Finances – August 31, 2014

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$2,079	\$2,079	\$7,154
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	2,079	2,079	7,154
Design & Development	0	0	239
Administration, Management and Technical Assistance ^[1]	2,294	2,294	10,264
Marketing	124	124	956
Subtotal EDC Implementation Costs	2,418	2,418	11,459
EDC Evaluation Costs	307	307	749
SWE Audit Costs	250	250	1,000
Total EDC Costs^[2]	5,054	5,054	20,362
Participant Costs^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only. Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

Table 4-2: Summary of Program Finances – Residential Energy Efficiency – August 31, 2014*

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$631	\$631	\$3,090
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	631	631	3,090
Design & Development	0	0	52
Administration, Management and Technical Assistance ^[1]	670	670	4,176
Marketing	124	124	949
Subtotal EDC Implementation Costs	794	794	5,177
EDC Evaluation Costs	66	66	161
SWE Audit Costs	54	54	216
Total EDC Costs^[2]	1,545	1,545	8,644
Participant Costs ^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only. Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

* All Upstream Lighting program costs are currently shown in the Summary of Program Finances table for REEP. The final report for PY6 will disaggregate these costs to REEP, LIEEP, and the Commercial Umbrella program, as appropriate.

Table 4-3: Summary of Program Finances – Schools Energy Pledge – August 31, 2014

	Quarter1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$0	\$0	\$0
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	0	0	0
Design & Development			
Design & Development	0	0	6
Administration, Management and Technical Assistance ^[1]	40	40	238
Marketing	0	0	0
Subtotal EDC Implementation Costs	40	40	244
EDC Evaluation Costs			
EDC Evaluation Costs	8	8	20
SWE Audit Costs	6	6	25
Total EDC Costs^[2]	54	54	289
Participant Costs^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only.			
Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

Table 4-4: Summary of Program Finances – Appliance Recycling Program – August 31, 2014

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$23	\$23	\$104
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	23	23	104
Design & Development	0	0	6
Administration, Management and Technical Assistance ^[1]	152	152	594
Marketing	0	0	0
Subtotal EDC Implementation Costs	152	152	600
EDC Evaluation Costs	8	8	19
SWE Audit Costs	6	6	24
Total EDC Costs^[2]	189	189	747
Participant Costs ^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only. Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

Table 4-5: Summary of Program Finances – Residential Home Energy Reporting – August 31, 2014

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$0	\$0	\$0
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	0	0	0
Design & Development			
Design & Development	0	0	0
Administration, Management and Technical Assistance ^[1]	123	123	711
Marketing	0	0	0
Subtotal EDC Implementation Costs	123	123	711
EDC Evaluation Costs			
EDC Evaluation Costs	0	0	0
SWE Audit Costs	0	0	0
Total EDC Costs^[2]	123	123	711
Participant Costs^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only.			
Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

Table 4-6: Summary of Program Finances – Residential Whole House Audit Retro-fit – August 31, 2014

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$0	\$0	\$0
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	0	0	0
Design & Development	0	0	0
Administration, Management and Technical Assistance ^[1]	151	151	151
Marketing	0	0	0
Subtotal EDC Implementation Costs	151	151	151
EDC Evaluation Costs	0	0	0
SWE Audit Costs	0	0	0
Total EDC Costs^[2]	151	151	151
Participant Costs ^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only. Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

Table 4-7: Summary of Program Finances – Low Income Energy Efficiency – August 31, 2014*

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$3	\$3	\$12
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	3	3	12
Design & Development	0	0	15
Administration, Management and Technical Assistance ^[1]	54	54	526
Marketing	0	0	0
Subtotal EDC Implementation Costs	54	54	541
EDC Evaluation Costs	19	19	47
SWE Audit Costs	16	16	63
Total EDC Costs^[2]	92	92	663
Participant Costs^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only. Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

* All Upstream Lighting program costs are currently shown in Table 4-2: Summary of Program Finances – Residential Energy Efficiency. The final report for PY6 will disaggregate these costs to REEP, LIEEP and the Commercial Umbrella program, as appropriate.

Table 4-8: Summary of Program Finances – Commercial Umbrella – August 31, 2014*

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$2	\$2	\$54
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	2	2	54
Design & Development	0	0	10
Administration, Management and Technical Assistance ^[1]	308	308	559
Marketing	0	0	7
Subtotal EDC Implementation Costs	308	308	576
EDC Evaluation Costs	12	12	30
SWE Audit Costs	10	10	40
Total EDC Costs^[2]	332	332	700
Participant Costs^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only. Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

* All Upstream Lighting program costs are currently shown in Table 4-2: Summary of Program Finances – Residential Energy Efficiency. The final report for PY6 will disaggregate these costs to REEP, LIEEP and the Commercial Umbrella program, as appropriate.

Table 4-9: Summary of Program Finances – Healthcare – August 31, 2014

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$455	\$455	\$1,532
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	455	455	1,532
Design & Development			
Design & Development	0	0	13
Administration, Management and Technical Assistance ^[1]	26	26	51
Marketing	0	0	0
Subtotal EDC Implementation Costs	26	26	64
EDC Evaluation Costs			
EDC Evaluation Costs	17	17	42
SWE Audit Costs	14	14	56
Total EDC Costs^[2]	512	512	1,694
Participant Costs^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only. Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

Table 4-10: Summary of Program Finances – Industrial Umbrella – August 31, 2014

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$0	\$0	\$128
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	0	0	128
Design & Development			
Design & Development	0	0	4
Administration, Management and Technical Assistance ^[1]	13	13	59
Marketing	0	0	0
Subtotal EDC Implementation Costs	13	13	63
EDC Evaluation Costs			
EDC Evaluation Costs	5	5	12
SWE Audit Costs	4	4	16
Total EDC Costs^[2]	22	22	219
Participant Costs^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only. Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

Table 4-11: Summary of Program Finances – Chemicals – August 31, 2014

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$0	\$0	\$28
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	0	0	28
Design & Development			
Design & Development	0	0	9
Administration, Management and Technical Assistance ^[1]	29	29	131
Marketing	0	0	0
Subtotal EDC Implementation Costs	29	29	140
EDC Evaluation Costs			
EDC Evaluation Costs	11	11	27
SWE Audit Costs	9	9	38
Total EDC Costs^[2]	49	49	233
Participant Costs^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only. Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

Table 4-12: Summary of Program Finances – Mixed Industrial – August 31, 2014

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$48	\$48	\$93
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	48	48	93
Design & Development	0	0	8
Administration, Management and Technical Assistance ^[1]	43	43	279
Marketing	0	0	0
Subtotal EDC Implementation Costs	43	43	287
EDC Evaluation Costs	10	10	25
SWE Audit Costs	9	9	34
Total EDC Costs^[2]	110	110	439
Participant Costs^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only. Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

Table 4-13: Summary of Program Finances – Office Buildings – August 31, 2014

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$410	\$410	\$879
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	410	410	879
Design & Development	0	0	36
Administration, Management and Technical Assistance ^[1]	189	189	898
Marketing	0	0	0
Subtotal EDC Implementation Costs	189	189	934
EDC Evaluation Costs	46	46	113
SWE Audit Costs	38	38	151
Total EDC Costs^[2]	683	683	2,077
Participant Costs ^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only. Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

Table 4-14: Summary of Program Finances – Primary Metals – August 31, 2014

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$46	\$46	\$500
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	46	46	500
Design & Development	0	0	24
Administration, Management and Technical Assistance ^[1]	322	322	1,057
Marketing	0	0	0
Subtotal EDC Implementation Costs	322	322	1,081
EDC Evaluation Costs	31	31	75
SWE Audit Costs	25	25	101
Total EDC Costs^[2]	424	424	1,757
Participant Costs^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only. Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

Table 4-15: Summary of Program Finances – Government (Non-Profit & Education) – August 31, 2014

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$50	\$50	\$111
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	50	50	111
Design & Development	0	0	42
Administration, Management and Technical Assistance ^[1]	78	78	426
Marketing	0	0	0
Subtotal EDC Implementation Costs	78	78	468
EDC Evaluation Costs	54	54	131
SWE Audit Costs	44	44	176
Total EDC Costs^[2]	226	226	886
Participant Costs^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only. Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			

Table 4-16: Summary of Program Finances – Retail Stores – August 31, 2014

	Quarter 1 (\$000)	PYTD (\$000)	Phase II (\$000)
EDC Incentives to Participants	\$410	\$410	\$623
EDC Incentives to Trade Allies			
Subtotal EDC Incentive Costs	410	410	623
Design & Development	0	0	14
Administration, Management and Technical Assistance ^[1]	95	95	412
Marketing	0	0	0
Subtotal EDC Implementation Costs	95	95	426
EDC Evaluation Costs	19	19	45
SWE Audit Costs	15	15	60
Total EDC Costs^[2]	539	539	1,154
Participant Costs^[3]			
Total TRC Costs^[4]			
NOTES			
<i>Per PUC direction, TRC inputs and calculations are required in the Annual Report only and should comply with the 2013 Total Resource Cost Test Order approved August 30, 2012.</i>			
¹ Includes the administrative CSP (rebate processing), tracking system, general administration and clerical costs, EDC program management, CSP program management, general management oversight major accounts and technical assistance			
² Per the 2013 Total Resource Cost Test Order – Total EDC Costs, here, refer to EDC incurred expenses only. Total EDC Costs = Subtotal EDC Incentive Costs + Subtotal EDC Implementation Costs + EDC Evaluation Costs + SWE Audit Costs.			
³ Per the 2013 Total Resource Cost Test Order –Net participant costs; in PA, the costs of the end-use customer.			
⁴ Total TRC Costs = Total EDC Costs + Participant Costs			